A Comparison of Equations for the Effect of Pressure on the Viscosity of Amorphous Polymers

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Synopsis

Several equations which are used to predict the pressure coefficient of viscosity for amorphous polymers have been examined on the basis of type of information required and equation reliability. These equations can be useful in accounting for pressure effects observed in tubular flow and in other shear geometries. The correlations of Penwell and Porter and of Miller are evaluated and in the perspective of expressions by Matheson and by Eyring. Data on linear amorphous polystyrene (PS), polyisobutylene, poly(vinyl acetate), poly(methyl methacrylate) (PMMA), natural rubber, and polycarbonate are examined and presented. Predictions from the Penwell-Porter and Miller equations are compared with experimental coefficients at one atmosphere for all data available. For PS and PMMA, it was found that Miller's equation tends to predict values somewhat higher than experiments but is closer to the data on PS and on a high molecular weight PMMA. The Penwell-Porter equation, on the other hand, tends to predict values somewhat lower than experiments and does a slightly better job for lower molecular weight PMMA. Both equations require WLF or Vogel coefficients and T_g -pressure-molecular weight data. Miller's equation also requires compressibilities at T_g and at the temperature of interest, although an alternate method can be used which only requires average "K" values without T_{g} -pressure or compressibilities at T_{g} .

INTRODUCTION

Several equations have been developed for the effect of pressure on the viscosity of liquids. This paper tests these equations against the limited literature data on polymers which is assembled here for the first time. Sufficient data are available for polystyrene, polyisobutylene, poly(vinyl acetate), poly(methyl methacrylate), natural rubber, and polycarbonate amorphous polymers which are used as input for these equations.

A correlation was observed¹ some time ago between the density (or specific volume) of liquids and viscosity. This led to the free-volume theory of viscosity. This theory divides the specific volume into a free and a molecularly occupied volume. This concept has been found to work well for liquids, as by the Matheson equation,² and for polymers using the WLF equation.^{3,4} Occupied volume may be constant or nearly so. Its dependence on temperature and pressure is the subject of some debate. Explanations and evidence have been offered as to the dependencies this volume might take.^{5,6}

The effects of pressure on viscosity can be important in the processing in-

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1199

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dustry—injection molding and in extrusion—where high pressures are extant.⁷ The interpretation is complicated by the high shear rates which usually accompany pressure. These high shear rates can also give rise to viscosity changes due to shear heating. The shear-thinning effects which are induced are not accounted for by free-volume models. Penwell and Porter,^{8,9} however, have successfully used viscosity–pressure predictions based on free volume to account for an anomalous increase in viscosities at high shear rate and pressure in a capillary rheometer. This is based on an additivity of free volume and entanglement contributions to viscosity.

A fundamental question arises from the free-volume approach and especially so when dealing with polymers. The question is whether viscosity can be described exclusively by thermodynamic variables, viz., free volume, which are pressure and temperature dependent. For a Newtonian fluid, the continuum hypothesis and the assumption of an isotropic material holds rather well and yields a viscosity coefficient which can be predicted in different modes of flow. The continuum assumptions lead to the conclusion that hydrodynamic and thermodynamic pressures are identical,¹⁰ i.e., polymers are incompressible. However, this is questionable.¹⁰

Using the WLF equation for expressing pressure effects, it has been assumed that the zero shear, i.e., Newtonian, viscosity is predictable independent of entanglement contributions to viscoelasticity. Thus, the viscositymolecular weight relation enters only as any change in T_g with molecular weight. The uncertainty in T_g will, of course, add error to predictions. The reference temperature in the WLF formulation is also not T_g but approximately 50° above.¹¹ The effect of time on the measurement of T_g is also an effect not accounted for by instantaneous thermodynamic quantities such as compressibility and can be associated with a breakdown of the assumption of continua and isotropy. With all these limitations, the free-volume theory has proved to be of great value because of its utility and apparent wide range of applicability.

It is the purpose of the present note to intercompare several viscosity equations in the literature involving the pressure coefficient at atmospheric pressure. We shall assess the type of data required by each and their relative reliability. The relationships between the compressibility, free volume at T_g , and the pressure coefficient are also investigated.

THEORY COMPARISON

A thermodynamic approach presented by Miller¹² has been shown useful for estimating the pressure coefficient of viscosity for amorphous polymers. Miller begins with the exact thermodynamic definition of the pressure coefficient:

$$b = \left(\frac{\partial \ln \eta}{\partial p}\right)_T = -\left(\frac{\partial \ln \eta}{\partial T}\right)_p \left(\frac{\partial T}{\partial p}\right)_\eta$$

where b is the pressure coefficient, η is the viscosity, T is absolute temperature, and p is absolute pressure. Miller then assumes that for any given polymer, the special combination of volume-temperature derivatives,

$$K = \left[\left(\frac{\partial V}{\partial T} \right)_{\eta} - \left(\frac{\partial V}{\partial T} \right)_{p} \right] = \left(\frac{\partial V}{\partial p} \right)_{T} / \left(\frac{\partial T}{\partial p} \right)_{\eta}$$

is independent of temperature at atmospheric pressure. This is an exact mathematical equivalence, and the validity of the assumption of constancy with temperature has been demonstrated over a temperature range above T_g . Using the concept that T_g is an isoviscous or isomobility state, one can evaluate this constant from dT_g/dp and experimental compressibilities at T_g . From the well-known Vogel equation for the viscosity-temperature relationship,

$$\ln \eta = A + \frac{2.3B}{(T - T_0)}$$

Following Miller's derivation,

$$\left. \frac{\partial \ln \eta}{\partial T} \right|_p = - \frac{2.3B}{(T-T_0)^2}$$

and noting that

$$\left(\frac{\partial T}{\partial p}\right)_{\eta} = \frac{dT_g}{dp}$$

at the glass transition temperature and that

$$K = \left(\frac{\partial V}{\partial p}\right)_T / \left(\frac{\partial T}{\partial p}\right)_n$$

which is equal to $(\beta V)_g / \frac{dT_g}{dp}$ at T_g ; we obtain for $\left(\frac{\partial \ln \eta}{\partial p}\right)_T$

$$\left(\frac{\partial \ln \eta}{\partial p}\right)_T = \frac{2.3B}{(T-T_0)^2} \cdot \frac{\beta V}{K}$$

Substituting for K, we obtain

$$b = \frac{2.3B}{(T-T_0)^2} \frac{dT_g}{dp} \cdot \frac{\beta V}{(\beta V)_g}$$

where $B = C_1C_2$; $T_0 = T_g - C_2$; βV is the compressibility at temperature Tand 1 atmosphere $= -(\partial V/\partial p)_T$; and $(\beta V)_g$ is the compressibility at T_g and 1 atmosphere. One can easily evaluate the pressure coefficient at different temperatures from compressibility data and WLF coefficients noting the equivalence of the WLF and Vogel equation¹³ assuming that the WLF coefficients are experimental constants for each polymer as is commonly done.

Another approach has been presented by Penwell, Porter and Middleman⁴ which is based on the WLF equation. The main idea here is that the effect of pressure on viscosity can be accounted for by an increase in T_g but otherwise the viscosity has the same dependence on T as the WLF equation but with an elevated T_g under pressure:

$$\log_{10} \frac{\eta}{\eta_g} = \frac{-C_1[T - T_g(p)]}{C_2 + T - T_g(p)}$$
$$T_g(p) = T_{g0} + A_1 \Delta p$$
$$\Delta p = p - p_{atm}$$
$$A_1 = \frac{dT_g}{dp}, \text{ independent of } p$$

This assumption ignores the effect of pressure on C_2 as determined by f_g , the free-volume fraction at T_g , and α_f , the expansivity of free volume which principally affects C_2 .¹⁴ The Penwell-Porter equation thus assumes that $B = C_1C_2$ is independent of pressure and that $dT_0/dp = dT_g/dp$. Although this is not necessarily true, the assumptions may be good at low pressure. There is an increase in slope of the P.-P. equation with pressure but this is probably not observed as the experimental pressure coefficient usually decreases with pressure if the pressure is sufficiently low. However, this slope is approximately constant for polymers of lower molecular weight and at lower pressures.

If one wishes the value of b at one atmosphere, then η_g need not be known if it is not a function of pressure and

$$\frac{d \log_{10} \eta}{dp}\Big|_{1 \text{ atm}} = \frac{d \log_{10} \eta_g}{dp} + \frac{C_1 C_2}{(C_2 + T - T_g)^2} \frac{d T_g(p)}{dp}$$

If $B = C_1 C_2$ and $T_0 = T_g - C_2$, then

$$\frac{1}{2.3} \left. \frac{d \ln \eta}{dp} \right|_{1 \text{ atm}} = 0 + \frac{B}{(T - T_0)^2} \left. \frac{dT_g(p)}{dp} \right|_{1 \text{ atm}}$$

$$\frac{dT_g(p)}{dp} = \frac{dT_g}{dp} = \text{constant at 1 atm}$$

SO

$$b = \left. \frac{d \ln \eta}{dp} \right|_{T} = \frac{23B}{(T - T_{0})^{2}} \frac{dT_{g}}{dp}.$$

Therefore, this is equivalent to Miller's equation, but only at T_g , since the ratio of compressibilities, $(\beta V)_T/(\beta V)_{T_g}$, increases with temperature. This equation should apply in the same range of temperatures as the WLF equation, namely, up to at least 100°C above T_g . This approximation is good since the thermal expansion coefficient, α_f , is approximately constant for this range of temperatures. In this paper, the pressure coefficient is defined as the point slope at 1 atmosphere, namely, $[d(\ln \eta)/dp]_T$. This is a departure from pressure coefficients defined in some previous papers⁹ as $b = 2.3 A_3/A_4$, where $A_3 = C_1 dT_g/dp$ and $A_4 = C_2 + T - T_{g0}$, where T_{g0} is T_g at 1 atmosphere.

The P.-P. equation here assumes that the effect of compressibility is taken into account entirely through the change in T_g with pressure. In the calculations presented below, it will be tacitly assumed that an initial and constant slope of log η -versus-p plot is applicable to polymers since this slope does seem to be constant in the low pressure range for polymers up to about 1000 bars.¹⁵

An additional equation is available for estimating the pressure dependence of η on free volume. This is due to Matheson.² He assumes that both the specific volume and occupied volume are functions of temperature and pressure. The latter assumption may be questionable. Indeed, Matheson has shown that occupied volume does not vary much over a wide range of pressures. He correlates the occupied volume with a function used by Bridgeman for solids and obtains good results for low molecular weight hydrocarbons. His equation,

$$\log \eta = A' + \frac{B'V_0 f(p)}{V - V_0 f(p)}$$

can be differentiated to give the pressure coefficient noting that f(p) is a normalized function describing the pressure dependence of V_0 and is equal to $1 + \overline{a\Delta p} + \overline{b\Delta p^2}$ and A' is independent of pressure:

$$\left(\frac{d \ln \eta}{dp}\right)_{T} = B'V_{0} \left[\frac{\frac{\partial f}{\partial p}\Big|_{1 \text{ atm}}}{V - V_{0}} - \frac{\beta V - V_{0}\frac{\partial f}{\partial p}\Big|_{1 \text{ atm}}}{(V - V_{0})^{2}}\right]$$

It is not known how well this equation holds for polymers. The coefficient has not been calculated since we were not aware of sufficient V_0 -versus-p data to allow computation of $\partial f/\partial p|_{1atm}$. Regarding the temperature dependence, both Matheson² and Laughlin and Ullmann¹⁶ indicate that the Doolit-tle equation does not produce accurate viscosity values near T_g where the viscosity is extreme and free volume low.

The hole theory proposed by Eyring has provided an alternate link between compressibility-pressure data and the dependence of viscosity on pressure. According to Eyring and Hirai,¹⁷

$$1/\beta_h = \frac{RT}{V_h} \exp\left(\frac{\epsilon_h + pV_h}{RT}\right)$$

where β_h is the compressibility of the "holes," viz., free volume; and ϵ_h and V_h are the "internal energy" and volume of the holes, respectively. In addition, the same theory predicts

$$\eta = \eta_0 \exp\left(\frac{pV_h}{RT}\right)$$

so one can find $b = V_h/RT$ from compressibility data using certain approximations by plotting ln $(\Delta V/V - \beta_0 p)$ versus p, where β_0 is the limiting compressibility at low temperature. The slope is b. We realize that this equation has been applied at temperatures in excess of 100°C above T_g .^{5,16} One could assume that the pressure coefficient should be calculated in this temperature range also. Eyring's equation appears to fit compressibility data near T_g .¹⁷ However, the viscosity data on polystyrene which was correlated was later found to be inaccurate.^{18,19} The semilog dependence of viscosity on pressure predicted by Eyring, however, has been found to hold fairly well in several cases at low pressures and temperatures.^{4,12}

DATA COMPARISON

Considerable judgment is needed in choosing literature data for evaluating predictions. In all cases, we attempted to compare data which was felt most consistent for a wide range of polymers. We did not, however, attempt to match data obtained by the same method or same authors although we realize the inherent error and differences in values obtained by one method as opposed to another. For instance, we used the value of 0.016 in Table I for dT_g/dp of natural rubber from Paterson's data rather than 0.013,²⁰ because we felt the former was more reliable.²¹ Uncertainties exist in the corresponding T_g measurements. This is especially true with regard to molecular weight dependence. Values of T_g were chosen, where possible, for compari-

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WLF Constants,				dT_{α}	
Polymer	C ₁	C_2 (°C)	<i>Tg</i> , ° K	$A_1 = \frac{1}{d_p}$, deg/bar	
PS	13.7	50 ¹³	36218,25	0.0318	
PIB	16.6	104.413	20825	0.019712	
PVAc	15.6	46.813	29812	0.021726	
PMMA	14.1	90.7°	37725	0.02327,28	
NR	16.8	53.613	20013	0.01629	
PC	15	7230	$417^{26,30}$	$0.0434^{26,30}$	
	16.3	23.3^{14}			

TABLE I Data Used in Viscosity Pressure Equations

TABLE II Compressibilities^a at Three Temperatures

Polymer	βV at T_1	βV at T_2	$eta V_g$ at T_g
PS	6.5 ¹⁸ (130°)	7.8 ¹⁸ (165°)	5.218
PIB	$3.6^{31}(-40^{\circ})$	5.3 ³¹ (25°)	3.131
PVAe	2.2 ²⁶ (50°)	$11.1^{26}(100^{\circ})$	1.1 (extrap.)
PMMA	4.6 ¹⁸ (130°)	8.1 ¹⁸ (180°)	3.0 (extrap.)
NR	$3.7^{32} (-50^{\circ})$	4.9 ³² (0°)	3.232
PC	4.5 ³³ (169°)	5.3 ³³ (219°)	1.633

^a In cc/g-bar \times 10⁵ at 1 atm.

son of the same polymer molecular weight as in studies on polystyrene and poly(methyl methacrylate) (PMMA). Values of the WLF coefficients, C_1 and C_2 , were considered independent of molecular weight for a given polymer. We realize that C_1 and C_2 do change somewhat with molecular weight, although data are scarce on this effect and we felt that the T_g change was more important and was accounted for in the calculations. The compressibilities were also assumed to be independent of molecular weight over the range tested, which may not be precisely correct.

The T_g used for PMMA in Table I is for 133,000 MW in order to compare with Casale's data. This is considerably above the 27,500 entanglement MW (M_c) for PMMA. The polyisobutylene (PIB) of 40,000 MW is somewhat above its M_c of 15,200. Compressibilities given in Table II were extrapolated, where necessary, to provide values at the desired temperatures; V versus $(\beta V)^{1/2}$ was plotted in a way similar to that used by Miller.¹²

Table III presents the calculation of the pressure coefficient at two temperatures in the range of applicability of the WLF equation and for the Miller and Penwell-Porter equations. The scarce experimental values are listed. The temperatures for calculation in Table III are listed in parentheses. As can be seen, the two figures listed for polycarbonate (PC) reflect the different WLF coefficients available and the sensitivity of "b" to them. Because of the exponential nature of the WLF equation, the pressure coefficient is not extremely sensitive to the WLF parameters C_1 and C_2 . The data of Casale⁹ for PMMA was obtained with an Instron capillary rheometer under pressure and so may be somewhat lower than the values at atmospheric pressures. Values of f_g/B for each polymer are also included in Table III for a compari-

			Pr	essure coefficient, bar	s ⁻¹ × 10 ⁻³			
		$T_1 \simeq T_g + 2$	25	$T_2 \simeq T_g + 7$	5			
Polymer	$T_g, ^{\circ}C$	Miller	PP.	Miller	PP.	T_1 exptl.	T_2 exptl.	f_g/B^a
PS	89b	7.14 (130°C)	5.71	4.5 (165°C)	2.98	7.312	5.1 ¹²	0.03213
PIB	-65	5.45 (40°C)	4.72	3.57 (25°C)	2.09			0.026^{13}
PVAc	25	14.1 (50°C)	7.08	23.85 (100°C)	2.46			0.028^{13}
PMMA	104.3	7.8 ⁹ (130°C)	5.0	$(11^{9}(180^{\circ}C))$	2.45		2.07^{9} (180°C)	0.03013
							$4.26(190^{\circ}C)$	
NR	-73	6.66 (50°C)	5.65	$3.24 (0^{\circ}C)$	2.07			0.026^{13}
PC	144	$31.78^{30} (169^{\circ}C)$	11.477	16.3^{30} (219°C)	5.0			0.02930
		45.1 ¹⁴	16.3	12.814	3.93			

TABLE III

EFFECT OF PRESSURE

1205

GOLDBLATT AND PORTER

			Value bar ⁻¹	es of b , × 10^{-3}	BV cc/g-bar	Fratl
No.	M_n	Temp., °C	PP.	Miller	$\times 10^{5}$	$(d \ln \eta_0/dp)_T$
1	8,000	122	5.22	7.23	4.09	4.37
2	27,000	132	4.74	7.53	4.69	6.11
		142	4.04	7.26	5.31	5.17
		154	3.38	7.02	6.14	4.18
3	54,000	143	4.03	7.33	5.37	5.38
		154	3.43	7.12	6.14	4.70
		166	2.91	6.89	7.01	4.04
4	68,000	143	4.04	7.35	5.37	7.94 (6.44)
		166	2.92	6.91	7.01	1.88
5	54,000 (BD)	164	2.98	6.93	6.86	6.95 (5.31)
6	145,000	164	3.01	6.98	6.86	3.10
		178	2.52	6.76	7.94	6.29 (5.35)
		189	2.21	6.58	8.79	5.73 (4.98)

TABLE IV Predicted Viscosity—Pressure Coefficients for PMMA at Various Temperatures and Molecular Weights

^a Experimental values were calculated from the slope of data given by Hermann and Knappe.²² Values in parentheses are averages over an expanded range of pressures rather than the slopes at one atmosphere.

TABLE V Predicted Pressure Coefficients for a Polystyrene of 280,000 MW at Three Temperatures

		<i>b</i> , bars ⁻¹ × 10^{-3}	
Temp., °C	PP.	Miller	Exptl. ^a
150	4.698	6.597	4.2
170	3.267	5.071	3.9
190	2.402	4.10	3.9

^a Experimental values were obtained from data of Ramsteiner.²³

son of the approximate f_g 's for different polymers. The constant *B* here is the Doolittle equation parameter and not the Vogel constant. This is consistent with the fact that *B* does not change much from polymer to polymer. However, it has been pointed out that the values and ordering of the polymers according to f_g 's would be different than ordering them according to $f_g/B.^{34}$

The calculated values in Table IV for PMMA are compared with data by Hermann and Knappe²² for the change of η_0 with pressure. The same paper also includes the effect of shear rate on the pressure coefficient at different temperatures and pressures. The values presented here show where the equations accurately predict temperature and molecular weight effects. All pressure coefficients were adjusted for change of T_g as the molecular weight of PMMA increased.

Table V compares values of b for a high molecular weight polystyrene at various temperatures. A T_g of 100°C for PS was used to calculate the pressure coefficients in this case. The experimental data were obtained by Ramsteiner using a sink viscometer.²³

DISCUSSION OF RESULTS

It appears that for the data on PS, Miller's equation does the best job of predicting the pressure coefficient as shown in Table III. The Penwell-Porter equation seems to give a slightly better fit for data on a high molecular weight PMMA. The lower values predicted by the Penwell-Porter equation also seem generally near to experiment for lower molecular weights, but Miller's equation generally does better for high molecular weights. The points for no. 4 at 166°C and no. 6 at 164°C in Table IV seems abnormally low. The reason is not known. The values in parentheses are averages given only where the ln η -versus-*p* curve was concave downward, although the curve for no 4 at 166°C was concave upward and the others were nearly straight. The constant *K* in the Miller equation may change with temperature, but this requires further investigation.

We note that for pairs of polymers PS plus PMMA, and PIB plus NR, interesting comparisons may be made as T_g 's for each pair are nearly the same. The values of f_g/B are about the same for the PIB-NR and PS-PMMA pairs. Ordinarily, it is assumed that the more compressible the polymer, the greater is the effect of pressure on viscosity and that those materials whose viscosities are most sensitive to change in temperature are also most influenced by pressure.²⁴ Our data show that the compressibilities of PS and PMMA are about the same, although the dependence on temperature is greater for PMMA over the same range. However, the pressure coefficients computed in Table III are also fairly close, with the temperature effect on b more pronounced at the higher temperatures. In the case of PIB and NR, the compressibilities and their temperature dependence are also about the same. However, the b values at T_1 (the lower temperature) do not agree well.

Table II shows a clear correspondence between the temperature dependence of compressibility and the absolute value of compressibilities at T_g . However, no clear-cut relationship was observed between the compressibility and the viscosity-pressure coefficient or between f_g/B at T_g and the pressure coefficient. There may be a qualitative correlation between f_g and b. One may conclude that the mechanism for viscous flow under pressure is influenced by factors in addition to compressibility and the free volume at T_g . This conclusion may be in agreement to the statement by Ferry¹³ that the effect of pressure changes the occupied volume considerably more than the free volume. Th occupied volume he refers to is the van der Waal volume of the molecules plus the volume associated with vibrational modes. It is interesting to note, in addition, that Miller's analysis would not necessarily admit a change in $(\beta V)_g$ with pressure unless one allowed K to vary with pressure.

The agreement between theory and experiment in Table IV is essentially qualitative. The equations predict a decrease in b with temperature at constant molecular weight and this is true experimentally at the lower molecular weights but not at the higher. Samples 4 and 6 show an anomaly here. Sample 5 is known to be a broad (BD) distribution polymer. The effect of MWD in this sample seems to be to increase the sensitivity of η to pressure. It is postulated that entanglements may have some effect on the actual value of the pressure coefficient, but this is unsure. Hermann and Knappe reported that the pressure coefficient goes through a minimum with shear rate at several different pressures and temperatures.²²

Table V indicates that the equations give only approximate estimates for the pressure coefficient. The experimental values confirm Hellewege's¹⁵ low value for a high molecular weight polystyrene at 165°C. Table IV shows perhaps a similarly low value for PMMA.

We have shown that both the Penwell-Porter equation and Miller's equation can predict pressure coefficients for amorphous polymers which agree qualitatively with certain experiments and can be used in a semilog relationship at low pressures to predict viscosity at low shear rates. The effect of shear rate on the pressure coefficient perhaps requires more elucidation as does the effect of entanglements on b.

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